

GP/3711

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
0112300-860

In Re Application Of: Jamie Goins et al.

Serial No.
09/967,267

Filing Date
September 28, 2001

Examiner
Unknown

Group Art Unit
3711

Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL REFRACTIVE LIGHT DISPLAY

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of _____
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I certify that this document and fee is being deposited January 9, 2002, with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

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Adam H. Masia
Signature

Adam H. Masia (Reg. No. 35,602)

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Dated: January 9, 2002

CC:



112-27-02-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jamie Goins et al.
Appl. No.: 09/967,267
Filed: September 28, 2001
Title: GAMING DEVICE HAVING A GAME WITH A FUNCTIONAL
REFRACTIVE LIGHT DISPLAY
Art Unit: 3711
Examiner: Unknown
Docket No.: 0112300-860

Assistant Commissioner for Patents
Washington, DC 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,679,044	July 7, 1987	Knoll
4,949,489	August 21, 1990	Ruddell et al.
5,121,229	June 9, 1992	Benton et al.
5,178,447	January 12, 1993	Murase et al.
5,207,493	May 4, 1993	Murase et al.
5,408,387	April 18, 1995	Murase et al.
5,410,454	April 25, 1995	Murase et al.
5,499,165	March 12, 1996	Holmes, Jr.
5,664,862	September 9, 1997	Redmond et al.
5,915,855	June 29, 1999	Murase et al.
6,094,849	August 1, 2000	Phillips et al.

OTHER DOCUMENTS

Slot Towers for the New Millennium Brochure written by Tripp Plastics, published date unknown.

Tripp Plastics Brochure written by Tripp Plastics, published date unknown.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY Adam H. Masia

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